



IAN F. BURNS & ASSOCIATES, P.C.

Intellectual Property Law

1575 Delucchi Lane
Suite 222
Reno, Nevada 89502

Phone: (775) 826-6160

Fax: (775) 828-1651 02-03

Ian F. Burns**
Robert C. Ryan**
Rolando J. Tong**
Ryan A. Heck**

Admitted in U.S. Patent and Trademark Office
*Admitted in California, Hawaii & Nevada
*Admitted in Illinois and Nevada
*Admitted in California & Nevada
*Admitted in Texas & Nevada

January 30, 2003

Box FEE AMENDMENT
Assistant Commissioner for Patents
Washington, DC 20231

RECEIVED

FEB 11 2003

Technology Center 2100

In re application of: William A. Honjas et al.

Serial number: 09/811,071

Filed: March 16, 2001

Title: Optimization Apparatus, System, and Method of Use and Doing Business

Attorney docket number: 677.478 Optim.UA-Optimization Apparatus, System

Dear Sir:

The following is/are submitted to the Patent and Trademark Office for appropriate action:

1. An Information Disclosure Statement – Prior Art (1 page).
2. One (1) reference.
3. A Fee Transmittal (1 page).
4. A Credit Card Payment Form (1 page).
5. A return receipt postcard.

Cordially yours,

R. Tong

Rolando J. Tong

Registration Number: 47,140

02/10/2003 DEMMANU1 00000052 09811071

01 FC:1806

180.00 0P

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

Deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

☐

FACSIMILE

Transmitted by facsimile to the Patent and Trademark Office.

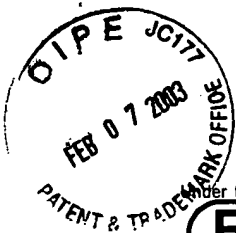
Fax No.: _____

Signature

Name

Date

Sheryl Hodge
Sheryl Hodge 1/31/03



PTO/SB/17 (12/99)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/811,071
Filing Date March 16, 2001
First Named Inventor William A. Honias et al.
Examiner Name
Group / Art Unit
Attorney Docket No. 677.478

RECEIVED

FEB 11 2001

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																									
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number 50,0913 Deposit Account Name Ian F. Burns & Associates <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17		3. ADDITIONAL FEES																																																																																																																									
2. <input checked="" type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input checked="" type="checkbox"/> Other		<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>105 130</td><td>205 65</td><td>Surcharge - late filing fee or oath</td><td>0.00</td></tr><tr><td>127 50</td><td>227 25</td><td>Surcharge - late provisional filing fee or cover sheet.</td><td></td></tr><tr><td>139 130</td><td>139 130</td><td>Non-English specification</td><td>0.00</td></tr><tr><td>147 2,520</td><td>147 2,520</td><td>For filing a request for reexamination</td><td>0.00</td></tr><tr><td>112 920*</td><td>112 920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>0.00</td></tr><tr><td>113 1,840*</td><td>113 1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>0.00</td></tr><tr><td>115 110</td><td>215 55</td><td>Extension for reply within first month</td><td>0.00</td></tr><tr><td>116 380</td><td>216 190</td><td>Extension for reply within second month</td><td>0.00</td></tr><tr><td>117 870</td><td>217 435</td><td>Extension for reply within third month</td><td></td></tr><tr><td>118 1,360</td><td>218 680</td><td>Extension for reply within fourth month</td><td>0.00</td></tr><tr><td>128 1,850</td><td>228 925</td><td>Extension for reply within fifth month</td><td>0.00</td></tr><tr><td>119 300</td><td>219 150</td><td>Notice of Appeal</td><td>0.00</td></tr><tr><td>120 300</td><td>220 150</td><td>Filing a brief in support of an appeal</td><td>0.00</td></tr><tr><td>121 260</td><td>221 130</td><td>Request for oral hearing</td><td>0.00</td></tr><tr><td>138 1,510</td><td>138 1,510</td><td>Petition to institute a public use proceeding</td><td>0.00</td></tr><tr><td>140 110</td><td>240 55</td><td>Petition to revive - unavoidable</td><td>0.00</td></tr><tr><td>141 1,210</td><td>241 605</td><td>Petition to revive - unintentional</td><td>0.00</td></tr><tr><td>142 1,210</td><td>242 605</td><td>Utility issue fee (or reissue)</td><td></td></tr><tr><td>143 430</td><td>243 215</td><td>Design issue fee</td><td></td></tr><tr><td>144 580</td><td>244 290</td><td>Plant issue fee</td><td></td></tr><tr><td>122 130</td><td>122 130</td><td>Petitions to the Commissioner</td><td>0.00</td></tr><tr><td>123 50</td><td>123 50</td><td>Petitions related to provisional applications</td><td></td></tr><tr><td>126 240</td><td>126 240</td><td>Submission of Information Disclosure Stmt</td><td>180.00</td></tr><tr><td>581 40</td><td>581 40</td><td>Recording each patent assignment per property (times number of properties)</td><td>0.00</td></tr><tr><td>146 690</td><td>246 345</td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td>0.00</td></tr><tr><td>149 690</td><td>249 345</td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td>0.00</td></tr><tr><td colspan="2">Other fee (specify) _____</td><td></td><td>0.00</td></tr><tr><td colspan="2">Other fee (specify) _____</td><td></td><td>0.00</td></tr><tr><td colspan="2">SUBTOTAL (1) (\$)</td><td colspan="2">SUBTOTAL (3) (\$) 180.00</td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105 130	205 65	Surcharge - late filing fee or oath	0.00	127 50	227 25	Surcharge - late provisional filing fee or cover sheet.		139 130	139 130	Non-English specification	0.00	147 2,520	147 2,520	For filing a request for reexamination	0.00	112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00	113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00	115 110	215 55	Extension for reply within first month	0.00	116 380	216 190	Extension for reply within second month	0.00	117 870	217 435	Extension for reply within third month		118 1,360	218 680	Extension for reply within fourth month	0.00	128 1,850	228 925	Extension for reply within fifth month	0.00	119 300	219 150	Notice of Appeal	0.00	120 300	220 150	Filing a brief in support of an appeal	0.00	121 260	221 130	Request for oral hearing	0.00	138 1,510	138 1,510	Petition to institute a public use proceeding	0.00	140 110	240 55	Petition to revive - unavoidable	0.00	141 1,210	241 605	Petition to revive - unintentional	0.00	142 1,210	242 605	Utility issue fee (or reissue)		143 430	243 215	Design issue fee		144 580	244 290	Plant issue fee		122 130	122 130	Petitions to the Commissioner	0.00	123 50	123 50	Petitions related to provisional applications		126 240	126 240	Submission of Information Disclosure Stmt	180.00	581 40	581 40	Recording each patent assignment per property (times number of properties)	0.00	146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00	Other fee (specify) _____			0.00	Other fee (specify) _____			0.00	SUBTOTAL (1) (\$)		SUBTOTAL (3) (\$) 180.00	
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid																																																																																																																								
105 130	205 65	Surcharge - late filing fee or oath	0.00																																																																																																																								
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.																																																																																																																									
139 130	139 130	Non-English specification	0.00																																																																																																																								
147 2,520	147 2,520	For filing a request for reexamination	0.00																																																																																																																								
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00																																																																																																																								
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00																																																																																																																								
115 110	215 55	Extension for reply within first month	0.00																																																																																																																								
116 380	216 190	Extension for reply within second month	0.00																																																																																																																								
117 870	217 435	Extension for reply within third month																																																																																																																									
118 1,360	218 680	Extension for reply within fourth month	0.00																																																																																																																								
128 1,850	228 925	Extension for reply within fifth month	0.00																																																																																																																								
119 300	219 150	Notice of Appeal	0.00																																																																																																																								
120 300	220 150	Filing a brief in support of an appeal	0.00																																																																																																																								
121 260	221 130	Request for oral hearing	0.00																																																																																																																								
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00																																																																																																																								
140 110	240 55	Petition to revive - unavoidable	0.00																																																																																																																								
141 1,210	241 605	Petition to revive - unintentional	0.00																																																																																																																								
142 1,210	242 605	Utility issue fee (or reissue)																																																																																																																									
143 430	243 215	Design issue fee																																																																																																																									
144 580	244 290	Plant issue fee																																																																																																																									
122 130	122 130	Petitions to the Commissioner	0.00																																																																																																																								
123 50	123 50	Petitions related to provisional applications																																																																																																																									
126 240	126 240	Submission of Information Disclosure Stmt	180.00																																																																																																																								
581 40	581 40	Recording each patent assignment per property (times number of properties)	0.00																																																																																																																								
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00																																																																																																																								
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00																																																																																																																								
Other fee (specify) _____			0.00																																																																																																																								
Other fee (specify) _____			0.00																																																																																																																								
SUBTOTAL (1) (\$)		SUBTOTAL (3) (\$) 180.00																																																																																																																									

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

2. EXTRA CLAIM FEES		Fee from below	Fee Paid
Total Claims	-20** =	X	0
Independent Claims	-3** =	X	0
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0.00

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Rolando J. Tong	Registration No. (Attorney/Agent)	47,140
Signature		Telephone	775-826-6160
		Date	1/30/02

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

+

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
collection of information unless it contains a valid OMB control number.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Compleat if Known

Application Number	09/811,071
Filing Date	03/16/2001
First Named Inventor	William A. Honjas
Group Art Unit	
Examiner Name	
Attorney Docket Number	677.478

(use as many sheets as necessary)

Sheet 1 of 1

[illegible]

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**